

**St. Paul Fire and Marine Insurance Company  
Saint Paul, Minnesota**

Your name (legal name of the law firm or individual applying for this insurance):

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1. Do you have a formal training program for your attorneys that includes training on 17 CFR part 205(SEC regulation pursuant to Section 307 of the Sarbanes-Oxley Act of 2002) (part 205)? .....  Yes  No

*If yes, please describe such training:* \_\_\_\_\_

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2. Do you have a procedure in writing for compliance with part 205? .....  Yes  No

*If yes, please attach a copy of that procedure.*

3. Has any attorney in your firm been disciplined by, or suspended from practicing before, the SEC? .....  Yes  No

*If yes, identify the attorney and describe the nature and extent of the discipline:* \_\_\_\_\_

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4. Has any attorney in your firm been referred by the SEC to local regulatory authorities for disciplinary action? ....  Yes  No

*If yes, identify the attorney and describe the nature of the referral and outcome to date:* \_\_\_\_\_

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**YOUR SIGNATURE AND AUTHORIZATION**

The undersigned authorized representative of the firm, or individual if this application is for an individual, agrees to all the following:

- The statements and representations made in this supplement are true and complete and will be deemed material to the acceptance of the risk assumed by The St. Paul in the event an insurance policy is issued.
- If the information supplied in this supplement changes between the date of the application and the effective date of any insurance policy issued by The St. Paul in response to this application, you will immediately notify us of such changes, and we may withdraw or modify any outstanding quotation or agreement to bind coverage.
- The St. Paul is authorized to make an investigation and inquiry in connection with this supplement.
- The St. Paul is not bound or obligated to issue any insurance policy or to provide the insurance requested this supplement.

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| Signature ( <i>Partner, Member, Officer, Shareholder</i> ) | Title | Date |
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**Important note:** This supplement to your application is not a representation that coverage does or does not exist for any particular claim or loss, or type of claim or loss, under any insurance policy issued by The St. Paul. Whether coverage exists or does not exist for any particular claim or loss under any such policy depends on the facts and circumstances involved in the claim or loss and all applicable wording of the policy actually issued.